Docket No.: 42P18930



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SHUBHENDU S. MUKHERJEE

Application No.: 10/796,520

Filed: March 8, 2004

For: A METHOD AND SYSTEM FOR

COALESCING COHERENCE

MESSAGES

Art Group: Unknown

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 42P18930

The references were cited in a Search Report dated August 15, 2005 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKODOEF FAYDOR & ZAFMAN LLP

Date: November 10, 2005

Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (503) 439-8778

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gigi Hoover

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Date

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(use as many sheets as necessary)

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of Sheet 1

		Complete if Known
	Application Number	10/796,520
	Filing Date	March 8, 2004
	First Named Inventor	Shubhendu S. Mukherjee
	Art Unit	Unknown
_	Examiner Name	Unknown
	Attorney Docket Number	42P18930

	_		U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,434,639 B1	08-13-2002	Haghighi	
	2	US-6,401,173 B1	06-04-2002	Razdan et al.	
		US-			

		FOR	EIGN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	T
Initials*	No.'	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	۲
						
						

Examiner	· · · · · · · · · · · · · · · · · · ·	Date	
Signature		Considered	

'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Substitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number

	Complete if Known
	10/796,520
	March 8, 2004
	Shubhendu S. Mukherjee
	Unknown
	Unknown
r	42P18930

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
, .	3	SHIBAYAMA, SHIGEKI, et al., "An Optical Bus Computer Cluster with a Deferred Cache Coherence Protocol," Proceedings of the International Conference on Parallel and Distributed Systems, IEEE Computer Society Inc., pp. 175-182, June 3, 1996	
	4	Invitation to Pay Additional Fees and Annex, 3 pgs., (PCT/US2005/007087), date of mailing August 15, 2005	

Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.

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IKANS	DIVILLI I AL F	ORIVI	Filing Date	March 8, 2004
(to be used for all	correspondence afte	er initial filing)	First Named Inventor	Shubhendu S. Mukherjee
			Art Unit	Unknown
			Examiner Name	Unknown
Total Number of F	Pages in This Submissi	on	Attorney Docket Number	42P18930
	ENCLO	SURES (chec	k all that apply)	
Fee Transmittal	<u> </u>	Drawing(s)		After Allowance Communication to Group
Fee Attac	ched	Licensing-r	related Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Re	esponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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Extension of Tin	ne Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter Other Enclosure(s)
Express Abando	onment Request	Terminal D	isclaimer	Other Enclosure(s) (please identify below):
	closure Statement	Request for		Two cited references; return postcard
PTO/SB/0 Certified Copy of Document(s)		CD, Numbe	er of CD(s)	
Decla	lication c Filing Fee aration/POA e to Missing ler 37 CFR	Remarks		
	SIGNATUR	OF APPLICAN	NT, ATTORNEY, OR AC	GENT
Firm <i>or</i> Individual name	Gregory D. Cal		5. 39,926 TAYLOR & ZAFM	IAN LLP
Signature	K			
Date	November 10,	, 2005		
	CERTIF	CATE OF MAIL	ING/TRANSMISSION	
I hereby certify that th sufficient postage as f 1450, Alexandria, VA	first class mail in an en	eing deposited wit velope addressed	h the United States Postal to: Mail Stop Amendment,	Service on the date shown below with Commissioner for Patents, P.O. Box
Typed or printed na	ame Gigi Hoover			
Signature	1 Digi	Hoov	<u>e</u> [Date 11-10-05
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NOV 1 4 2005 Complete if Known **EE TRANSMITTAL Application Number** 10/796,520 Filing Date March 8, 2004 First Named Inventor Shubhendu S. Mukherjee Patent fees are subject to annual revision. Examiner Name Unknown Applicant claims small entity status. See 37 CFR 1.27. Art Unit Unknown TOTAL AMOUNT OF PAYMENT Attorney Docket No. 42P18930 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☒ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee ☐ Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION**

Large Er	ntity	Small			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052		Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053		Non-English specification	
1251	120	2251		Extension for reply within first month	
1252	450	2252		Extension for reply within second month	
1253	1,020	2253		Extension for reply within third month	-
1254	1,590	2254		Extension for reply within fourth month	
1255	2,160	2255		Extension for reply within fifth month	
1401	500	2401		Notice of Appeal	
1402	500	2402		Filing a brief in support of an appeal	
1403	1,000	2403		Request for oral hearing	
1451	1,510	2451		Petition to institute a public use proceeding	
1460	130	2460		Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180		
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other f	ee (spe	cify)			
	, ,			SUBTOTAL (2) (\$)	

SUBMITTED B	Υ			Comp	olete (if applicable)
Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature				Date	11/10/05

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Γį				Application Number 10/796,520	
IDEMARKO.	TOI	FY	2005		
	Patent fees	are subject to	annual revis	Shadhenda S. Wakite	rjee
Applicar	nt claims sn	nall entity st	tatus. Se	ee 37 CFR 1.27. Examiner Name Unknown	
TOTAL A	MOUNT OF	PAYMEN	Т	Art Unit Unknown Attorney Docket No. 42P18930	
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Check [Credit ca	ard \square M	oney Or	rder None Other (please identify):	
Deposit A	Account D	Deposit Ac	count N	Tumber: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Za	afman LLP
For the a	above-ider	ntified dep	osit acc	ount, the Director is hereby authorized to: (check all that apply)	
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				underpayment of fee(s) Credit any overpayments	
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1051	130	2051		Surcharge - late filing fee or oath	
	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
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2053 1251	120	2251	130 60 225	Non-English specification Extension for reply within first month Extension for reply within second month	
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SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Gregory D. Caldwell	al Mi	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature	L				Date	11/10/05